







# SBC Corporate Risk Register

All 5 year plan outcomes								
Risk Ref	Risk Title	Risk Owner	Description & Consequence	Inherent Risk Priority	Risk Control	Residual Risk Priority	Action Required	Target Risk Priority
CR 8	Ensuring the effectiveness of resilience plans/continuity plans for key locations and services.	Dean Trussler	<p><b>Description</b> The Council's business continuity plan was last reviewed in 2013. The internal audit report in 2016 would provide no assurance that adequate controls are in place. The BCP has not been tested through desk top or simulation exercises.</p> <p><b>Consequence</b> Failure to have an up to date BCP places the Council at risk of being unable to continue its business should a</p>	I = 4 L = 6 24  	Dedicated Business Continuity Officer External assistance to help develop the plan There is a documented process for undertaking business impact analysis and risk assessments at Service, Directorate and Council-wide level	I = 4 L = 3 12  	To conclude the delivery programme for implementing Business Continuity Management throughout the authority <b>Person Responsible:</b> Dean Trussler <b>To be implemented by:</b> 30 Jun 2020 Training for managers is booked for June 2020. Awaiting to get e learning package online. <b>Person Responsible:</b> Dean Trussler <b>To be implemented by:</b> 30 Jun 2020	I = 2 L = 3 6  

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F&A 27	Failure to ensure financial sustainability.	Neil Wilcox	<p><b>Description</b> The revenue support grant is declining whilst the population in the Borough is growing. In addition there is an increasing demand for the Council's Services. Efficiency savings still need to be made to reduce expenditure, whilst the financial sustainability of the Council in the longer term is reliant on increased levels of income being generated by attracting new businesses to the area and increasing council tax (subject to Central Government imposed limits). Slough Children's Services Trust have recently published 2018-19 accounts indicating that there is a material uncertainty to its going concern status due to there being no agreed plan to repay the £4m initial start-up liabilities..</p> <p><b>Consequence</b> Failures or delays in the Council delivering its savings targets for the forthcoming financial year; or increased in-year demands on its services, over and above those anticipated during the budget setting process, would result in the Council needing to make in-year savings which will in turn impact the quality of services that can be delivered and result in a failure to meet the corporate objectives.</p> <p>If SCST were to be deemed insolvent, or no realistic plan from SCST for repaying its liabilities is forthcoming, the Council may be unable to recover its substantial debts in the future. This would require the Council to utilise 34% of its estimated general reserves (at the end of 2020/21).</p>	<b>I = 4 L = 5</b> 20 	<p>Budget Monitoring Reports to Members, Corporate Management Team, Departmental Management</p> <p>External experts used to carry out financial analysis. 5 Year Plan in place</p> <p>Legal advice obtained on historic SCST liabilities</p> <p>Medium term financial strategy 2019 to 2024 in place</p> <p>Regular and ongoing discussions with DfE/MHCLG regarding SCT</p> <p>SBC Finance working closely with SCST Finance to agree transparent financial reporting mechanisms</p> <p>SCST financial position included in Quarterly Cabinet Monitoring Reports</p>	<b>I = 4 L = 4</b> 16 	<p>Agree future of SCST with DfE and treatment of liabilities</p> <p><b>Person Responsible:</b> Neil Wilcox</p> <p><b>To be implemented by:</b> 31 Aug 2020</p> <p>On-going system of budget monitoring by accountants with DMT's and take immediate action to mitigate any overspends if identified in year</p> <p><b>Person Responsible:</b> Barry Stratfull</p> <p><b>To be implemented by:</b> 31 Mar 2021</p> <p>Consider the financial implications of Covid 19</p> <p><b>Person Responsible:</b> Barry Stratfull</p> <p><b>To be implemented by:</b> 31 Mar 2021</p>	<b>I = 4 L = 2</b> 8 

### Slough children will grow up to be happy, healthy and successful.

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33	Failure of Children's Social Care	Cate Duffy	<p><b>Description</b> Children's social care services are currently judged as RI having improved from Inadequate. It is important that the improvement journey continues Slough Children's Services Trust have recently published 2018-19 accounts indicating that there is a material uncertainty to going concern status due to there being no agreed plan to repay liabilities to the Council. There is a risk that directors may need to declare insolvency in the next 12 months. The publication of these accounts may lead to reputational damage to SCST and potentially to a lack of confidence from providers and staff. This in turn may impact negatively on outcomes for children.</p> <p><b>Consequence</b> Poor outcomes for vulnerable children include risks to safeguarding. Reputational damage to the council.</p>	I = 4 L = 5 20	<p>SBC Director of Children's Services attending SCST board as an observer and receiving all papers.</p> <p>Contract Monitoring Monthly Review and Quarterly partnership Board - SBC receive regular budget monitoring reports including updates on savings and on progress with implementation of additional financial controls by SBC.</p> <p>Contract KPI and progress against the improvement plan is also monitored at these meetings.</p> <p>Finance directors from SBC and SCST met regularly as does the Chief Exec of SCST and the SBC Director of Children's Services</p> <p>Joint Parenting Panel i.e. through the Corporate Parenting Strategy and related Action Plan, that JPP ensures the effective discharge of the corporate parenting role.</p> <p>Regular high level discussions with DfE about the best model for the future sustainable delivery of children's services. Discussions are supported by external reports and an independent advisor.</p> <p>Review of model for delivery of children's services from undertaken in partnership with SCST, DfE and external partners</p>	I = 4 L = 4 16	<p>Consider service and contractual requirements. Ensure that we agree future model with DfE.</p> <p><b>Person Responsible:</b> Cate Duffy</p> <p><b>To be implemented by:</b> 01 Oct 2020</p>	I = 3 L = 4 12

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					Revised improvement Action Plan. Progress on the plan reported annually to SBC Education and Children's Services Scrutiny Panel			
Slough will attract, retain and grow businesses and investment to provide jobs and opportunities for our residents								
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38	information Governance and GDPR	Simon Pallett	<p><b>Description</b>                      GDPR came in May 2018. .</p> <p>There needs to be a corporate and local response to the implementation of GDPR</p> <p>The section that deal with Information Governance lacks resource</p> <p>As the "go-live" date for GDPR approaches this has meant that workers who understand GDPR and how to mitigate the effects are becoming more valuable to all sectors, making it harder to fill posts with responsibility for GDPR</p> <p><b>Consequence</b>                      If there is not an adequate response to GDPR there is a chance that there may fines, criticism from the information Commissioner</p> <p>Damage to reputations</p> <p>Civil Claims for damages</p>	I = 3 L = 4 12	Initial data mapping completed by RSM The Corporate Addendum has been accepted. Vacant post being temporarily covered	I = 3 L = 3 9	advertise Data Protection officer post <b>Person Responsible:</b> Simon Pallett <b>To be implemented by:</b> 31 Mar 2020	I = 3 L = 2 6